

NYU ABU DHABI PI Guidelines

Effective Date:	Date approved: March 1, 2020
Supersedes: n/a	
Issuing Authority:	NYUAD Office of the Provost
Responsible Officer:	NYUAD Office of the Vice Provost of Research Outreach and Research Institute; Director, Office of Academic HR
Related Policies:	NYU Sponsored Research Guidelines

In line with NYU Sponsored Research Guidelines¹, Principal Investigator (PI) Status at NYU Abu Dhabi is determined as follows:

In order to ensure that research is conducted by those who have the requisite training and skill, as well as the appropriate relationship to NYU Abu Dhabi, the institution will normally sponsor proposals only when the principal investigator or project director is employed full-time by NYU Abu Dhabi and holds an appointment as tenured/tenure-track or continuing contract faculty.²

For all other appointment categories, application for Principal Investigator status must be forwarded to the NYU Abu Dhabi Provost (see the following section). See appendix for full eligibility requirements by type of faculty appointment as well as other appointment categories.

Applications for PI-Status for Other Appointment Categories

For all other appointment categories except NYU Abu Dhabi standing, full-time faculty (with primary appointment at NYU Abu Dhabi), an application for PI-status to the NYU Abu Dhabi Provost needs to provide for the respective research project

- an approval from the respective Principal Investigator (if applicable) and/or line manager
- a detailed explanation about how the implementation of the envisioned research project does not impact the individual's existing professional obligations (if applicable, within a respective sponsored research supported project).

Requests for PI status must furthermore include an approval given by the respective divisional Dean or equivalent based on an application that outlines how the project, in case of a successful application, would impact on NYU Abu Dhabi resources (infrastructure, space, personnel, budget, etc.).

¹ <https://www.nyu.edu/about/policies-guidelines-compliance/policies-and-guidelines/sponsored-research-guidelines.html>

² For NYU grants for Full-Time Continuing Contract Faculty, please see: <https://www.nyu.edu/about/policies-guidelines-compliance/policies-and-guidelines/continuing-contract-faculty-as-principal-investigators-of-sponso.html>

NYU Affiliated Faculty, Visiting Faculty, Research Scientists/Scholars, Postdocs, PhD Students are not eligible to compete for internal research grant opportunities offered by NYU Abu Dhabi (unless otherwise noted and specifically targeted through the opportunity). PI status for these appointment categories will not come with any additional resources.

The evaluation of the PI-status request will follow the NYU Sponsored Research Guidelines (in particular, the standards and conditions stated in NYU Policy “Code 103 Professional Research Personnel”.³)

External Grants

For any grants outside of NYU Abu Dhabi, the NYU Sponsored Research Guidelines apply.

For the eligibility of Continuing Contract Faculty to serve as Principal Investigators on grants sponsored by NYU or an external grant agency, please see the respective University policy.⁴

In all cases of external grants, PI-status for grants outside of NYU Abu Dhabi is contingent on the PI-requirements of the grant sponsor (i.e. external requirements may further limit internal NYU Abu Dhabi and NYU guidelines).

Non-US and non-federal grants will be administered directly by NYU Abu Dhabi.

All external grant proposal submissions require the approval from the PI, Co-PIs, respective Dean(s) at NYU Abu Dhabi, as well as institutional sign off by the NYU Abu Dhabi Office of Research Administration.⁵

³ <https://www.nyu.edu/about/policies-guidelines-compliance/policies-and-guidelines/procedures-for-granting-project-directorprincipal-investigator-status-to-code-103-professional-research-personnel.html>

⁴ <https://www.nyu.edu/about/policies-guidelines-compliance/policies-and-guidelines/continuing-contract-faculty-as-principal-investigators-of-sponso.html>

⁵ See also <https://intranet.nyuad.nyu.edu/faculty-resources/research/research-administration/pre-award/>

APPENDIX

1. ELIGIBILITY FOR NYU ABU DHABI EXTERNAL GRANTS

Standing Faculty (with primary appointments at NYUAD): T/TT (all ranks), CF (all ranks)⁶:

Full eligibility for all grants (external grants contingent on sponsor requirements)

Standing faculty with joint appointments based on the NYUAD Cross-School Appointment Guidelines:

Full eligibility for all grants (external grants contingent on sponsor requirements)

NYU Standing Faculty (including participants in the NYUAD Affiliate Faculty Program, Affiliated/Associated Faculty):

Eligibility contingent on sponsor requirements

Other Appointment Categories (e.g. Visiting Faculty; Academic Librarians, Research Scientists/Scholars; Postdocs; PhD Students):

Eligibility contingent on approval by NYU Abu Dhabi Provost based upon sponsor eligibility requirements, contractual status, etc.

2. PARTICIPANT ROLES FOR NYU ABU DHABI INTERNAL GRANTS⁷

Principal Investigator (PI)

The PI must have a full-time faculty appointment at NYU Abu Dhabi, either as a tenured or tenure-track assistant, associate or full professor, or via long-term faculty contract with a primary appointment at NYU Abu Dhabi.

Co-Principal Investigator (Co-PI):

A Co-PI must have a full-time faculty appointment at NYU Abu Dhabi, either tenured, tenure-track or continuing contract, or be a full-time faculty within the NYU Global Network.

Investigator:

Investigators should have a full-time faculty appointment at NYU Abu Dhabi, either tenured, tenure-track or continuing contract, or be a full-time faculty within the NYU Global Network, or faculty at other institutions who have PI status as per their institution's policies.

Persons in non-faculty positions are also eligible to serve as Investigators as long as they have the requisite expertise and experience to responsibly lead the sub-concentration.

Visiting faculty, regardless of contract duration, are ineligible to apply for internal competitive funds.

⁶ For CF (all ranks), see also <https://www.nyu.edu/about/policies-guidelines-compliance/policies-and-guidelines/continuing-contract-faculty-as-principal-investigators-of-sponso.html>

⁷ See specific Request for Proposals (RFP) for more information about each program.